

I(a) Statement showing Shareholding Pattern

Name of the Company : SWARNA SECURITIES LIMITED

Scrip Code: 531003

SWARNA SECURITIES LIMITED ,EQUITY

Quarter Ended: 30th September, 2012

Partly paid-up Shares:	No. of partly paid-up Shares	As a % of total No. of partly paid-up Shares	As a % of total No. of shares of the company
Held by promoter/promoter group	NIL		
Held by Public	NIL		
Total	NIL		
Outstanding Convertible Securities:	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total No. of shares for the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL		
Held by Public	NIL		
Total	NIL		
Warrants:	No. of Warrants	As a % of total No. of Warrants	As a % of total No. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL		
Held by Public	NIL		
Total	NIL		
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			

For Swarna Securities Ltd.



Managing Director

Category code	Category of Shareholder	Number of Shareholders	Total Number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a Percentage of (A+B)1	As a Percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
a.	Individuals/Hindu Undivided Family	4	1307300	1307300	43.58%	43.58%	0	0
b.	Central Government/State Government(s)	0	0	0	0.00%	0.00%	0	0
c.	Bodies Corporate	1	473200	473200	15.77%	15.77%	0	0
d.	Financial Institutions/Banks	0	0	0	0.00%	0.00%	0	0
e.	Any Other (Specify)							
	Sub Total (A)(1)	5	1780500	1780500	59.35%	59.35%	0	0
2	Foreign							
a.	Individuals (Non Resident Individuals/Foreign Individuals)	0	0	0	0.00%	0.00%	0	0
b.	Bodies Corporate	0	0	0	0.00%	0.00%	0	0
c.	Institutions	0	0	0	0.00%	0.00%	0	0
d.	Any Other (Specify)							
	Sub Total (A)(2)	0	0	0	0.00%	0.00%	0	0
	Total Shareholding of Promoter and Promoter Group							
	(A)=(A)(1)+(A)(2)	5	1780500	1780500	59.35%	59.35%	0	0
(B)	Public Shareholding							
1	Institutions							
a.	Mutual Funds/UTI	0	0	0	0.00%	0.00%	0	0
b.	Financial Institutions/Banks	1	250000	250000	8.33%	8.33%	0	0
c.	Central Government/State Government(s)	0	0	0	0.00%	0.00%	0	0
d.	Venture Capital Funds	1	2000	0	0.07%	0.07%	0	0
e.	Insurance Companies	0	0	0	0.00%	0.00%	0	0
f.	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0
g.	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0
h.	Any Other (Specify)							
	Sub Total (B)(1)	2	252000	250000	8.40%	8.40%	0	0
2	Non-Institutions							
a.	Bodies Corporate	4	54100	0	1.80%	1.80%	0	0
b.	Individuals--							
	i) Individual shareholders holding nominal share capital upto Rs.1 lakh	618	485945	120145	16.20%	16.20%	0	0
	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	7	297205	153405	9.91%	9.91%	0	0

For Swarna Securities Ltd.


Managing Director

c. Any Other (Specify)								
	i) Non Resident Individuals	3	130250	0	4.34%	4.34%	0	0
	ii) Overseas Corporate Bodies	0	0	0	0.00%	0.00%	0	0
	iii) Trusts	0	0	0	0.00%	0.00%	0	0
	iv) Employees	0	0	0	0.00%	0.00%	0	0
	v) Clearing Members	0	0	0	0.00%	0.00%	0	0
	vi) Foreign Nationals	0	0	0	0.00%	0.00%	0	0
	Sub Total (B)(2)	632	967500	273550	32.25%	32.25%	0	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)	634	1219500	523550	40.65%	40.65%	0	0
	Total (A)+(B)	639	3000000	2304050	100.00%	100.00%	0	0
(C)	Shares held by Custodians and against Depository Receipts							
	have been issued	0	0	0	0.00%	0.00%	0	0
(1)	Promoter and Promoter Group	0	0	0	0.00%	0.00%	0	0
(2)	Public	0	0	0	0.00%	0.00%	0	0
	Grand Total (A)+(B)+(C)	639	3000000	2304050	100.00%	100.00%	0	0

(b) Statement showing Shareholding of persons belonging to the category : "Promoter and Promoter Group"

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Shares Pledged or otherwise encumbered		
				Number	As a Percentage	As a % of grand total
1	M. MURALI KRISHNA	718750	23.96%	0	0	0
2	M.V.N.S. SUSHMA	237400	7.91%	0	0	0
3	SIVA CREDITS LIMITED	473200	15.77%	0	0	0
4	P KASTURI	230400	7.68%	0	0	0
5	G V CHANDRA RAO	120750	4.03%	0	0	0
	TOTAL	1780500	59.35%	0	0	0

For Swarna Securities Ltd,

 Managing Director

I(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares(I.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
1	VENKATA NARASIMHA RAO CHALASANI	134869	4.50%	Indian Public
2	SRINIVASA KUMAR Y	63600	2.12%	Indian Public
3	K. SRIDHAR	38400	1.28%	Indian Public
4	A.P.I.D.C. Ltd.	250000	8.33%	Indian Financial
5	SRI KRISHNADEVARAYA HATCHARIES (P) LTD.,	51400	1.71%	Indian Corporate
6	K. SRINIVASA REDDY	50000	1.67%	N.R.I.
7	HARIDHAR KANKAR	78250	2.61%	N.R.I.
	TOTAL	666519	22.22%	

I(c) Statement showing details of locked-in shares

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares(I.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
		NA	
	TOTAL	0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr No	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares(I.e., Grand Total (A)+(B)+(C)
		NA		
	TOTAL	0	0.00	

(II)(b) Statement showing details of Depository Receipts (DRs), where underlying shares held by promoter/promoter group" are in excess of 1% of the total number of shares

Sr No	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs,	Number of Shares underlying outstanding	Shares underlying outstanding DRs as a percentage of total number of shares(I.e.,
		NA		
	TOTAL		0	0

(III)(a) - Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer

Give description of voting rights for each class of security

Class X: Equity

Class Y: Not Applicable

Class Z: Not Applicable

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(I)	(II)						
(A)	Promoter and Promoter Group						
(1)	Indian						
a.	Individuals/Hindu Undivided Family	1307300	0	0	1307300	43.58%	43.58%
b.	Central Government/State Government(s)	0	0	0	0	0.00%	0.00%
c.	Bodies Corporate	473200	0	0	473200	15.77%	15.77%
d.	Financial Institutions/Banks	0	0	0	0	0.00%	0.00%
e.	Any Other (Specify)	0	0	0	0	0.00%	0.00%
					0		0.00%
	Sub Total (A)(1)	1780500	0	0	1780500	59.35%	59.35%
(2)	Foreign						
a.	Individuals (Non Resident Individuals/Foreign Individuals)	0	0	0	0	0	0
b.	Bodies Corporate	0	0	0	0	0	0
c.	Institutions	0	0	0	0	0	0
d.	Any Other (Specify)				0		
	Sub Total (A)(2)	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1780500	0	0	1780500	59.35%	59.35%
(B)	Public Shareholding						
(1)	Institutions						
a.	Mutual Funds/UTI	0	0	0	0	0.00%	0.00%
b.	Financial Institutions/Banks	0	0	0	0	0.00%	0.00%
c.	Central Government/State Government(s)	250000	0	0	250000	8.33%	8.33%
d.	Venture Capital Funds	2000	0	0	2000	0.07%	0.07%
e.	Insurance Companies	0	0	0	0	0.00%	0.00%
f.	Foreign Institutional Investors	0	0	0	0	0.00%	0.00%
g.	Foreign Venture Capital Investors	0	0	0	0	0.00%	0.00%
h.	Any Other (Specify)						
	Sub Total (B)(1)	252000	0	0	252000	8.40%	8.40%

For Swarna Securities Ltd.



 Managing Director

(2)	Non-Institutions						
a.	Bodies Corporate	54100	0	0	54100	1.87	1.87
b.	Individuals						
	i) Individual shareholders holding nominal share capital upto Rs.1 lakh	485945	0	0	485945	16.71	16.71
	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	297205	0	0	297205	45.44	45.44
c.	Any Other (Specify)						
	i) Non Resident Individuals	130250	0	0	130250	0.03	0.03
	ii) Overseas Corporate Bodies	0	0	0	0	0	0
	iii) Trusts	0	0	0	0	0	0
	iv) Employees	0	0	0	0	0	0
	v) Clearing Members	0	0	0	0	0	0
	vi) Foreign Nationals	0	0	0	0	0	0
	Sub Total (B)(2)	967500	0	0	967500	64.06	64.06
	Total Public Shareholding (B)=(B)(1)+(B)(2)	1219500	0	0	1219500	72.46	72.46
	Total (A)+(B)	3000000	0	0	3000000	100	100
(C)	Shares held by Custodians and against Depository Receipts have been issued						
(1)	Promoter and Promoter Group	0	0	0	0	0	0
(2)	Public	0	0	0	0	0	0
	Grand Total (A)+(B)+(C)	3000000	0	0	3000000	100	100

for Swarna Securities Ltd.


Managing Director.

SWARNA SECURITIES LIMITED

**DISTRIBUTION SCHEDULE AS ON 30/09/2012
(To be made out for each class of security)
(CONSOLIDATED)**


Total Nominal Value Rs. 3,00,00,000.00, Nominal Value Of Each Share/Unit 10.00/-

Total Number Of Shares/Units 3000000, Paid Up Value Per Share/Unit Rs. 10.00/-

Distinctive No(s) From 1 TO 3000000

sino	category	holders	holder_per	shares	amount	amount_per
1	1 - 5000	396	61.97%	110492	1104920	3.68%
2	5001 - 10000	120	18.78%	101307	1013070	3.38%
3	10001 - 20000	60	9.39%	89674	896740	2.99%
4	20001 - 30000	20	3.13%	51487	514870	1.72%
5	30001 - 40000	10	1.56%	35700	357000	1.19%
6	40001 - 50000	8	1.25%	36000	360000	1.20%
7	50001 - 100000	9	1.41%	67985	679850	2.27%
8	100001 & Above	16	2.50%	2507355	25073550	83.58%
	TOTAL :	639	100.00%	3000000	30000000	100.00%

For Swarna Securities Ltd.


Managing Director.