

I(a) Statement showing Shareholding Pattern

Name of the Company : SWARNA SECURITIES LIMITED

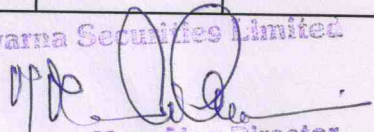
Scrip Code: 531003

SWARNA SECURITIES LIMITED ,EQUITY

Quarter Ended: 30th September, 2011

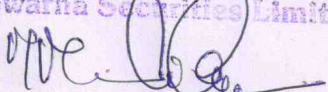
Partly paid-up Shares:		No. of partly paid-up Shares	As a % of total No. of partly paid-up Shares	As a % of total No. of shares of the company
	Held by promoter/promoter group	NIL		
	Held by Public	NIL		
	Total	NIL		
Outstanding Convertible Securites:		No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total No. of shares for the company, assuming full conversion of the convertible securities
	Held by promoter/promoter group	NIL		
	Held by Public	NIL		
	Total	NIL		
Warrants:		No. of Warrants	As a % of total No. of Warrants	As a % of total No. of shares of the company, assuming full conversion of warrants
	Held by promoter/promoter group	NIL		
	Held by Public	NIL		
	Total	NIL		
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities				

For Swarna Securities Limited


Managing Director

Category code	Category of Shareholder	Number of Shareholders	Total Number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a Percentage of (A+B)1	As a Percentage of (A+B+C)	Number of Shares	As a percentage (IX)=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
a.	Individuals/Hindu Undivided Family	4	1237450	1237450	41.25%	41.25%	0	0
b.	Central Government/State Government(s)	0	0	0	0.00%	0.00%	0	0
c.	Bodies Corporate	1	454200	0	15.14%	15.14%	0	0
d.	Financial Institutions/Banks	0	0	0	0.00%	0.00%	0	0
e.	Any Other (Specify)							
	Sub Total (A)(1)	5	1691650	1237450	56.39%	56.39%	0	0
2	Foreign							
a.	Individuals (Non Resident Individuals/Foreign Individuals)	0	0	0	0.00%	0.00%	0	0
b.	Bodies Corporate	0	0	0	0.00%	0.00%	0	0
	Institutions	0	0	0	0.00%	0.00%	0	0
d.	Any Other (Specify)							
	Sub Total (A)(2)	0	0	0	0.00%	0.00%	0	0
	Total Shareholding of Promoter and Promoter Group							
	(A)=(A)(1)+(A)(2)	5	1691650	1237450	56.39%	56.39%	0	0
(B)	Public Shareholding							
1	Institutions							
a.	Mutual Funds/UTI	0	0	0	0.00%	0.00%	0	0
b.	Financial Institutions/Banks	1	250000	250000	8.33%	8.33%	0	0
c.	Central Government/State Government(s)	0	0	0	0.00%	0.00%	0	0
d.	Venture Capital Funds	1	2000	0	0.07%	0.07%	0	0
e.	Insurance Companies	0	0	0	0.00%	0.00%	0	0
f.	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0
g.	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0
h.	Any Other (Specify)							
	Sub Total (B)(1)	2	252000	250000	8.40%	8.40%	0	0
2	Non-Institutions							
a.	Bodies Corporate	5	142950	0	4.77%	4.77%	0	0
b.	Individuals--							
	i) Individual shareholders holding nominal share capital upto Rs.1 lakh	638	491945	110445	16.40%	16.40%	0	0
	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	7	291205	147405	9.71%	9.71%	0	0

For Swarna Securities Limited


Managing Director

