

I(a) **Statement showing Shareholding Pattern**

Name of the Company : SWARNA SECURITIES LIMITED

Scrip Code: 531003

SWARNA SECURITIES LIMITED, EQUITY

Quarter Ended: 30th September, 2014

Partly paid-up Shares:

Held by promoter/promoter group

Held by Public

Total

Outstanding Convertible Securities:

Held by promoter/promoter group

Held by Public

Total

Warrants:

Held by promoter/promoter group

Held by Public

Total

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities


No. of partly paid-up Shares	As a % of total No. of partly paid-up Shares	As a % of total No. of shares of the company
NIL		
NIL		
NIL		

No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total No. of shares for the company, assuming full conversion of the convertible securities
NIL		
NIL		
NIL		

No. of Warrants	As a % of total No. of Warrants	As a % of total No. of shares of the company, assuming full conversion of warrants
NIL		
NIL		
NIL		

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For Swarna Securities Ltd



Managing Director

Category code	Category of Shareholder	Number of Shareholders	Total Number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a Percentage of (A+B)†	As a Percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
a.	Individuals/Hindu Undivided Family	4	1310200	1310200	43.67%	43.67%	0	0
b.	Central Government/State Government(s)	0	0	0	0.00%	0.00%	0	0
c.	Bodies Corporate	1	473200	473200	15.77%	15.77%	0	0
d.	Financial Institutions/Banks	0	0	0	0.00%	0.00%	0	0
e.	Any Other (Specify)							
	Sub Total (A)(1)	5	1783400	1783400	59.45%	59.45%	0	0
2	Foreign							
a.	Individuals (Non Resident Individuals/Foreign Individuals)	0	0	0	0.00%	0.00%	0	0
b.	Bodies Corporate	0	0	0	0.00%	0.00%	0	0
c.	Institutions	0	0	0	0.00%	0.00%	0	0
d.	Any Other (Specify)							
	Sub Total (A)(2)	0	0	0	0.00%	0.00%	0	0
	Total Shareholding of Promoter and Promoter Group							
	(A)=(A)(1)+(A)(2)	5	1783400	1783400	59.45%	59.45%	0	0
(B)	Public Shareholding							
1	Institutions							
a.	Mutual Funds/UTI	0	0	0	0.00%	0.00%	0	0
b.	Financial Institutions/Banks	1	250000	250000	8.33%	8.33%	0	0
c.	Central Government/State Government(s)	0	0	0	0.00%	0.00%	0	0
d.	Venture Capital Funds	1	2000	0	0.07%	0.07%	0	0
e.	Insurance Companies	0	0	0	0.00%	0.00%	0	0
f.	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0
g.	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0
h.	Any Other (Specify)							
	Sub Total (B)(1)	2	252000	250000	8.40%	8.40%	0	0
2	Non-Institutions							
a.	Bodies Corporate	10	72827	18727	2.43%	2.43%	0	0
b.	Individuals--							
	i) Individual shareholders holding nominal share capital upto Rs.1 lakh	582	443824	82224	14.79%	14.79%	0	0
	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	8	317699	185699	10.59%	10.59%	0	0

for Swarna Securities Ltd.

Vire
Managing Director.

c	Any Other (Specify)								
	i) Non Resident Individuals	3	130250	0	4.34%	4.34%	0	0	
	ii) Overseas Corporate Bodies	0	0	0	0.00%	0.00%	0	0	
	iii) Trusts	0	0	0	0.00%	0.00%	0	0	
	iv) Employees	0	0	0	0.00%	0.00%	0	0	
	v) Clearing Members	0	0	0	0.00%	0.00%	0	0	
	vi) Foreign Nationals	0	0	0	0.00%	0.00%	0	0	
	Sub Total (B)(2)	603	964600	286650	32.15%	32.15%	0	0	
	Total Public Shareholding (B)=(B)(1)+(B)(2)	605	1216600	536650	40.55%	40.55%	0	0	
	Total (A)+(B)	610	3000000	2320050	100.00%	100.00%	0	0	
(C)	Shares held by Custodians and against Depository Receipts have been issued	0	0	0	0.00%	0.00%	0	0	
(1)	Promoter and Promoter Group	0	0	0	0.00%	0.00%	0	0	
(2)	Public	0	0	0	0.00%	0.00%	0	0	
	Grand Total (A)+(B)+(C)	610	3000000	2320050	100.00%	100.00%	0	0	

(b) Statement showing Shareholding of persons belonging to the category : "Promoter and Promoter Group"

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Shares Pledged or otherwise encumbered		
				Number	As a Percentage	As a % of grand total
1	M. MURALI KRISHNA	718750	23.96%	0	0	0
2	M.V.N.S. SUSHMA	237400	7.91%	0	0	0
3	SIVA CREDITS LIMITED	473200	15.77%	0	0	0
4	P KASTURI	230400	7.68%	0	0	0
5	G V CHANDRA RAO	123650	4.12%	0	0	0
	TOTAL	1783400	59.45%	0	0	0

For Swarna Securities Ltd.

[Signature]
Managing Director

I(c) (i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares of the Company

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares(i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)		
1	VENKATA NARASIMHA RAO CHALASANI	134869	4.50%		Indian Public
2	SRINIVASA KUMAR Y	63600	2.12%		Indian Public
3	K. SRIDHAR	38400	1.28%		Indian Public
4	A.P.I.D.C. Ltd.	250000	8.33%		Indian Financial
5	SRI KRISHNADEVARAYA HATCHARIES (P) LTD.,	51400	1.71%		Indian Corporate
6	K. SRINIVASA REDDY	50000	1.67%		N.R.I.
7	HARIDHAR KANKAR	78250	2.61%		N.R.I.
	TOTAL	666519	22.22%		

I(c) (ii) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares(i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)		
1	A.P.I.D.C. Ltd.	250000	8.33%		Indian Financial
	TOTAL	250000	8.33%		

I(d) Statement showing details of locked-in shares

Sr No	Name of the Shareholders	Number of Shares	Shares as a percentage of total number of shares(i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
		NA		
	TOTAL	0	0.00	

(II)(a) Statement showing details of Depository Receipts (DRs) Type of outstanding DR (ADRs, GDRs, SDRs, etc.)

Sr No	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares(i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
		NA			
	TOTAL	0	0.00		

(II)(b) Statement showing details of Depository Receipts (DRs), where underlying shares held by promoter/promoter group" are in excess of 1% of the total number of shares

Sr No	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares(i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
		NA			
	TOTAL	0	0		