

Shareholding Pattern

SWARNA SECURITIES LTD.

Security Code : 531003
Date Begin : 01 Jul 2013

Quarter Ending : September 2013
Date End : 30 Sep 2013

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
held by public	0	0.00	0.00
Total	0	0.00	0.00
Held by promoter/promoter group	0	0.00	0.00
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
Total	0	0.00	0.00
Warrants	No. of warrant	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
Total	0	0.00	0.00
Total Paid-up capital of the company assuming full conversion of warrants and convertible	3000000		

securities

Category of Shareholder	No. of Shareholders	Total No. of Shares	Total No. of Shares held in Dematerialized Form	Total Shareholding as a % of Total No. of Shares		Shares pledged or otherwise encumbered		
				As a % of (A+B)	As a % of (A+B+C)	Number of shares	As a % of Total No. of Shares	
(A) Shareholding of Promoter and Promoter Group								
(1) Indian								
Individuals / Hindu Undivided Family	4	1307300	1307300	43.58	43.58	0	0.00	
Bodies Corporate	1	473200	473200	15.77	15.77	0	0.00	
Sub Total	5	1780500	1780500	59.35	59.35	0	0.00	
(2) Foreign								
Total shareholding of Promoter and Promoter Group (A)	5	1780500	1780500	59.35	59.35	0	0.00	
(B) Public Shareholding								
(1) Institutions								
Financial Institutions / Banks	1	250000	250000	8.33	8.33	0	0.00	
Venture Capital Funds	1	2000	0	0.07	0.07	0	0.00	
Sub Total	2	252000	250000	8.40	8.40	0	0.00	
(2) Non-Institutions								

Bodies Corporate	5	60300	6200	2.01	2.01	0	0.00
Individuals							
Individual shareholders holding nominal share capital up to Rs. 1 lakh	616	479745	115245	15.99	15.99	0	0.00
Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	7	297205	153405	9.91	9.91	0	0.00
Any Others (Specify)	3	130250	0	4.34	4.34	0	0.00
Non Resident Indians	3	130250	0	4.34	4.34	0	0.00
Sub Total	631	967500	274850	32.25	32.25	0	0.00
Total Public shareholding (B)	633	1219500	524850	40.65	40.65	0	0.00
Total (A)+(B)	638	3000000	2305350	100.00	100.00	0	0.00
(C) Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00	0	0.00
(1) Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
(2) Public	0	0	0	0.00	0.00	0	0.00
Sub Total	0	0	0	0.00	0.00	0	0.00
Total (A)+(B)+(C)	638	3000000	2305350	0.00	100.00	0	0.00

Notes:

1. For determining public shareholding for the purpose of Clause 40A
2. For definitions of Promoter and Promoter Group, refer to Clause 40A.
3. Public shareholding

Click here for Shareholding belonging to the category "Promoter and Promoter Group"

Click here for Shareholding belonging to the category "Public" and holding more than 1% of the Total No. of Shares

Click here for Shareholding belonging to the category "Public" and holding more than 5% of the Total No. of Shares

Click here for Details of Locked-in Shares

Click here for Details of Depository Receipts (DRs)

Click here for Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares.