

# Shareholding Pattern

## SWARNA SECURITIES LTD.

Security Code : 531003  
Date Begin : 01 Oct 2013

Quarter Ending : December 2013  
Date End : 31 Dec 2013

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
held by public	0	0.00	0.00
Held by promoter/promoter group	0	0.00	0.00
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of warrant	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Paid-up capital of the company assuming full conversion of warrants and convertible</b>	<b>3000000</b>		

securities

Category of Shareholder	No. of Shareholders	Total No. of Shares	Total No. of Shares held in Dematerialized Form	Total Shareholding as a % of Total No. of Shares		Shares pledged or otherwise encumbered	
				As a % of (A+B)	As a % of (A+B+C)	Number of shares	As a % of Total No. of Shares
<b>(A) Shareholding of Promoter and Promoter Group</b>							
<b>(1) Indian</b>							
Individuals / Hindu Undivided Family	4	1310200	1307300	43.67	43.67	0	0.00
Bodies Corporate	1	473200	473200	15.77	15.77	0	0.00
<b>Sub Total</b>	<b>5</b>	<b>1783400</b>	<b>1780500</b>	<b>59.45</b>	<b>59.45</b>	<b>0</b>	<b>0.00</b>
<b>(2) Foreign</b>							
<b>Total shareholding of Promoter and Promoter Group (A)</b>	<b>5</b>	<b>1783400</b>	<b>1780500</b>	<b>59.45</b>	<b>59.45</b>	<b>0</b>	<b>0.00</b>
<b>(B) Public Shareholding</b>							
<b>(1) Institutions</b>							
Financial Institutions / Banks	1	250000	250000	8.33	8.33	0	0.00
Venture Capital Funds	1	2000	0	0.07	0.07	0	0.00
<b>Sub Total</b>	<b>2</b>	<b>252000</b>	<b>250000</b>	<b>8.40</b>	<b>8.40</b>	<b>0</b>	<b>0.00</b>
<b>(2) Non-Institutions</b>							

Bodies Corporate	5	70224	16124	2.34	2.34	0	0.00
<b>Individuals</b>							
Individual shareholders holding nominal share capital up to Rs. 1 lakh	608	466921	117445	15.56	15.56	0	0.00
Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	7	297205	153405	9.91	9.91	0	0.00
<b>Any Others (Specify)</b>	<b>3</b>	<b>130250</b>	<b>0</b>	<b>4.34</b>	<b>4.34</b>	<b>0</b>	<b>0.00</b>
Non Resident Indians	3	130250	0	4.34	4.34	0	0.00
<b>Sub Total</b>	<b>623</b>	<b>964600</b>	<b>286974</b>	<b>32.15</b>	<b>32.15</b>	<b>0</b>	<b>0.00</b>
<b>Total Public shareholding (B)</b>	<b>625</b>	<b>1216600</b>	<b>536974</b>	<b>40.55</b>	<b>40.55</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)</b>	<b>630</b>	<b>3000000</b>	<b>2317474</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>(1) Promoter and Promoter Group</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>(2) Public</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)+(C)</b>	<b>630</b>	<b>3000000</b>	<b>2317474</b>	<b>0.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

**Notes:**

1. For determining public shareholding for the purpose of Clause 40A
2. For definitions of Promoter and Promoter Group, refer to Clause 40A.
3. Public shareholding

**Click here for** Shareholding belonging to the category "Promoter and Promoter Group"

**Click here for** Shareholding belonging to the category "Public" and holding more than 1% of the Total No. of Shares

**Click here for** Shareholding belonging to the category "Public" and holding more than 5% of the Total No. of Shares

**Click here for** Details of Locked-in Shares

**Click here for** Details of Depository Receipts (DRs)

**Click here for** Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares.

**Click here for** Details of Disclosure by Trading Members (TM) holding 1% or more of the Total No. of Shares.