

Annexure - II
FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2018
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of
the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	L52520AP1990PLC011031
2.	Registration Date	19.02.1990
3.	Name of the Company	Swarna Securities Limited
4.	Category/Sub-category of the Company	Public Limited Company
5.	Address of the Registered office & contact details	II Floor, Swarnalok Complex Governorpet VIJAYAWADA – 520 002
6.	Whether listed company	Yes
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Aarathi Consultants (P) Limited Regd. Office :1-2-285 Domalguda, HYDERABAD-500 029

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Hire Purchase & Leasing Financing	659	04.34%
2	Rent from Immovable Property	681	89.60%

III. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (as on 31/03/2017)				No. of Shares held at the end of the year (as on 31/03/2018)				% change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	1789100	1789100	59.64%	1789730	1789730	59.66%	0.02%
b) Central Govt
c) State Govt(s)
d) Bodies Corp.
e) Banks / FI
f) Any other
Total shareholding of Promoter (A)	1789100	1789100	59.64%	1789730	1789730	59.66%	0.02%
B. Public Shareholding									
1. Institutions
a) Mutual Funds
b) Banks / FI	250000	250000	8.33%	250000	250000	8.33%

ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakhs	188129	195600	383729	12.79%	188129	195600	383729	12.79%	...
c) Others (specify)
Non Resident Indians	—	130250	130250	4.34%	—	130250	130250	4.34%
Overseas
Corporate Bodies
Foreign Nationals
Clearing Members	1000	0	1000	0.03%	1000	0	1000	0.03%
Trusts
Foreign Bodies-DR
Sub-total (B)(2):	285750	673150	958900	31.96%	285750	673150	958900	31.94%	-0.02%
Total Public	535750	675150	1210900	40.36%	535120	675150	1210270	40.34%	-0.02%
Shareholding (B)=(B)(1)+ (B)(2)
C. Shares held by Custodian for GDRs & ADRs
Grand Total (A+B+C)	2324850	675150	3000000	100%	2324850	675150	3000000	100%

B) Shareholding of Promoter-

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total shares of the company	% of shares pledged / encumbered to total shares	No. of Shares	% of total shares of the company	% of shares pledged / encumbered to total shares	
1	M. Murali Krishna	718750	23.96%	--	718750	23.96%	--	--
2	M.V.N.S. Sushma	237400	7.91%	--	237400	7.91%	--	--
3	Siva Credits (P) Ltd.	478900	15.96%	--	479530	15.98%	--	0.02%
4	P. Kasturi	230400	7.68%	--	230400	7.68%	--	--
5	G.V. Chandra Rao	123650	4.12%	--	123650	4.12%	--	--

C) Change in Promoters' Shareholding (please specify, if there is no change)

S. No.	Name of the Shareholder	Shareholding at the beginning of the year		Increase/ Decrease Shares	Increase/ Decrease Shares %age	Cumulative Shareholding during the year	
		No. Of Shares	% of total shares of the company			No. of shares	% of total shares of the company
1.	Siva Credits (P) Ltd.						
	At the beginning of the year	478900	15.96%				
	17/11/2017			500	0.02%	479400	15.98%
	22/11/2017			100	0.00%	479500	15.98%
	16/03/2018			30	0.00%	479530	15.98%
	At the end of the year					479530	15.98%

**D) Shareholding Pattern of top ten Shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

S.No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc) :		As per Schedule -I		
	At the end of the year/				

E) Shareholding of Directors and Key Managerial Personnel:

S. No.	Shareholding of each Directors	Shareholding at the beginning of the year		Increase/ Decrease Shares	Increase/ Decrease Shares %age	Cumulative Shareholding during the year	
		No. Of Shares	% of total shares of the company			No. of shares	% of total shares of the company
1.	M Muralikrishna						
	At the beginning of the year	718750	23.96%				
	At the end of the year					718750	23.96%
2.	M.V.N.S. Sushma						
	At the beginning of the year	237400	7.91%				
	At the end of the year					237400	7.91%
3.	Pradeep Kumar						
	At the beginning of the year	400	0.01%				
	At the end of the year					400	0.01%
4.	K. Sridhar						
	At the beginning of the year	115700	3.86%				
	At the end of the year					115700	3.86%

IV) INDEBTEDNESS - Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (+i+ii+iii)				
Change in Indebtedness during the financial year				
* Addition				
* Reduction				
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (+i+ii+iii)				

N/A

V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.No.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount
		--	--	--	
1	Gross salary				/
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				
2	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			N/A	
	Stock Option				
	Sweat Equity				
3	Commission				
	- as % of profit				
	- others, specify...				
5	Others, please specify				
	Total (A)				
	Ceiling as per the Act				

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

S.No.	Particulars of Remuneration	Key Managerial Personnel				
		CFO	CS	CFO	Total	
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961					
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961					
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961					
2	Stock Option					
3	Sweat Equity					
4	Commission					
	- as % of profit					
	others, specify...					
5	Others, please specify					
	Total					

VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

Nil

**Schedule-1 to Annexure B: Form MGT-9 EXTRACT OF ANNUAL RETURN
Shareholding pattern of top 10 shareholders (Other than Directors, Promoters, Holders of ADRs, GDRs)**

S.No.	Name	Shareholding		Date	Increase / Decrease in share- holding	Reason	Cumulative shareholding during the year	
		No. of shares at the beginning / end of the year	% of total shares of the Company				No. of shares	% of total shares of the Company
1	A.P.I.D.C. Ltd.,	250000	8.33%				250000	8.33%
2	Ch.V. Narasimha Rao	134869	4.50%				134869	4.50%
3	Haridar Kankar	78250	2.61%				78250	2.61%
4	Y. Ratnakar	58100	1.94%				58100	1.94%
5	K. Venkata Narayana	53260	1.78%				53260	1.78%
6	Sri Krishnadevaraya Hatcheries (P) Ltd.	51400	1.71%				51400	1.71%
7	K. Srinivasa Reddy	50000	1.67%				50000	1.67%
8	P.V. Appa Rao	21800	0.73%				21800	0.73%
9	Muthavarapu Leasing (P) Ltd.,	16124	0.54%				16124	0.54%
10	Rajkumari Agarwal	11800	0.39%				11800	0.39%